

中信証券經紀(香港)有限公司
CITIC SECURITIES BROKERAGE (HK) LTD

證券帳戶號碼:
Securities Account Number: _____

申請/取消網上交易執行通知

Re-state / Cancel INTERNET TRADE EXECUTION NOTIFICATION FORM

客戶姓名:

Client Name: _____

客戶類別: 新開網上交易客戶 現有網上交易客戶
Client Type: New Internet Client Existing Internet Client

1. 重新設定網上交易執行通知/Re-instate Internet Trade Execution Notification

2. 取消網上交易執行通知/Cancel Internet Trade Execution Notification
細節/Particulars

本人現要求中信証券經紀(香港)有限公司(以下簡稱“公司”)在本人的網上賬戶所執行交易指示後,不需要向本人提供交易執行通知。本人知悉並確認,本人已經閱讀並理解以下的風險披露聲明,並且這是以本人理解的語言版本所提供,本人已被邀請閱讀該等條款,提出問題及徵求獨立意見如本人有此意願。

網上交易風險披露聲明

儘管這份風險披露聲明並未披露與網上交易有關的所有風險,但明白當中存在的受黑客攻擊和網絡安全風險。接收由公司發出的交易執行通知可確保所有交易均獲得授權,並且不容許未經授權進入和/或網上交易帳戶的安全漏洞,從而幫助減少或降低受黑客攻擊的風險。

I do not require CITIC Securities Brokerage (HK) Limited (“you”) to provide us with trade execution notification following the execution of our trade instructions by you. I further confirm and acknowledge that I have read and understand the risk disclosure statement contained below and that this was provided to me in a language that I understand and was invited to read, ask question and take independent advice if I wish.

Internet Trading Risks Disclosure Statement

While this risk disclosure statement does not disclose all of the risks associated with internet trading, there are hacking and cybersecurity risks associated with internet trading. Receiving trade execution notifications from us can help reduce or mitigate hacking risks by ensuring all trade orders from you are authorized and that there is no unauthorized access and/or security breach to your internet trading accounts with us.

附注/Note:

- 一) 由收到此指示之日起,本公司需時七個工作天以便有關部門更改其紀錄。
- 二) 上述之更改如涉及任何聯名帳戶而需聯名簽署者,有關人士均需簽署。
 - 1.) Please allow 7 working days from the date of receipt of this instruction for the department(s) concerned to update their record.
 - 2.) If the change(s) involve any joint account(s) which require joint signatures, all concerned parties must sign.

簽署:

Signature(s): _____

日期:

Date: _____

客戶/獲授權人士(只適用於公司/機構客戶)
Client / Authorized Person (For Corporate / Institution a/c only)

以下由本公司填寫:/For Internal Use Only:

核查及同意:

Reviewed & Concurred By: _____
經紀/證券銷售員 AE / Salesperson

輸入:

Input By: _____

核對:

Checked By: _____
客戶服務主任 CSO

輸入核對:

Input Checked By: _____